UNITED STATES PATENT AND TRADEMARK OFFICE

K-1973

: Hiroyuki OKA et al

Title

: BREAKE APPARATUS

Serial No.

: 09/837,483

Filed

: April 19, 2001

Group Art Unit: 3683

Examiner

: Bradley T. Kinq

Hon. Commissioner of Patents

P.O. Box 1450, Alexandria, VA 22313-1450

October 21, 2005

## SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Sir:

Submitted herewith are Information Disclosure Statement, Japanese Action and two references.

It is certified that the Japanese Action and the references were issued on September 28, 2005 and each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Enclosed eleven references are as follows;

- 1. Japanese Publication HEI02-60871
- 2. Japanese Publication HEI11-227584

In the Japanese Action dated September 28, 2005, claims were rejected as follows;

Claim 1 was rejected by the reference 1. Claims 3 to 6 were rejected by the references 1 and 2.

Please consider the information disclosure statement.

Attached herewith is a credit card authorization form to cover the Surcharge for filing an IDS after issue of Final Action in the amount of \$180.00.

10/24/2005 HALI11 00000002 09837483

180.00 OP 01 FC:1806



Respectfully submitted, HAUPTMAN KANESAKA & BERNER PATENT AGENTS, LLP

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## **U.S. PATENT** ATTY. DOCKET NO. APPLICATION NO. **INFORMATION DISCLOSURE** K-1973 09/837,483 CITATION IN AN **APPLICANT APPLICATION** Hiroyuki OKA et al FILING DATE **GROUP** (PTO-1449) 04/19/2001 3683 U.S. PATENT DOCUMENTS FILING **EXAMINER'S SUBCLASS** PATENT NO. DATE NAME **CLASS** INITIALS DATE FOREIGN PATENT DOCUMENTS EXAMINER'S Translation PATENT NO. DATE COUNTRY **CLASS SUBCLASS INITIALS** $\mathbf{X}$ 11-227584 08/24/1999 Japan 02-60871 03/01/1990 Japan X

EXAMINER DATE CONSIDERED

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.